IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: (This is a Divisional Appln. No.

of SN 10/337,702, filed

January 7, 2003)

Applicant(s): Takahide NISHI et al

Filed : CONCOMITANTLY HEREWITH

For

TC/A.U.

Examiner

Docket No. : 02697CIPD/HG

Confirm. No.:

Customer No.: 01933

Express Mail Mailing Label No.: EL 983 136 555 US

Date of Deposit: November 20, 2003

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1:10 on the date indicated above and is addressed to the

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Laraine Dobies

: AMINO ALCOHOL DERIVATIVES In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension

fee, or any other fee

required in connection with this Paper

to Account No. 06-1378.

INFORMATION DISCLOSURE STATEMENT FILED CONCOMITANT WITH DIVISIONAL APPLICATION

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

The enclosed Forms PTO/SB/08A and PTO/SB/08B identify all of the documents cited during the prosecution of the parent Application Serial No. 10/337,702, filed January 7, 2003 of which the present application is a Division. These documents are considered relevant because they were considered and are of record during the prosecution of the parent application. No. 10/337,702 is pending.

Since this is a Divisional Application filed pursuant to 35 USC 120 and the priority of Serial No. 10/337,702 is claimed, copies of the documents are not required (37 CFR 1.98(d)).

addition, U.S. patent documents identified on said form PTO/SB/08A are not required to be provided herewith since the USPTO waived the requirement to file copies of U.S. patents and U.S. patent application publications for U.S. patent applications filed after June 30, 2003 (See 1276 OG 55 (05 August 2003)).

A copy of the International Search Report which is of record in the parent application is enclosed herewith.

It is requested that these documents be considered and made of record and that an initialed copy of the enclosed Forms

PTO/SB/08A and PTO/SB/08B be returned to the undersigned.

Frishauf, Holtz, Goodman & Chick, P.C. 767 Third Ave., 25th Floor

New York, NY 10017-2023 Tel. No. (212) 319-4900

Fax No.: (212) 319-5101

MJC/ld

Respectfully submitted,

MARSHALL J. CHICK Reg. No. 26,853

Enc.: Forms PTO/SB/08A and PTO/SB/08B
Copy of International Search Report

+

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

						U.:	S. Patent and Trademar	K OII	ice: U.S. DEPAR	MENT OF COM	MERC	
Substitute for Form 1449A/PTO						Application Number						
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Filing Date First Named Inventor			Herewith Takahide NISHI et al.			
											Ex	Examiner Name
Sheet 1 of 3			At	Attorney Docket Number			02697CIPD/HG					
				ับ	.s.	PAT	ENT DOCUMENTS	<u> </u>				
Exam. Inits*	Cite No¹					Kind Name of Patentee of Applicant		or	Publication Relevan Date MM-DD-YYYY Portio			
		5,604,229				A	Fujita et al.		02-18-1997			
	1	<u>.l</u>		FOR	REIG	N PA	TENT DOCUMENTS	· · · · · · ·	· · · · · · · · · · · · · · · · · · ·	I		
Exam Inits'	Cite No¹	Offc'	Documer	nt Number⁴		Kind ode ⁵	Name of Patentee of Applicant	or	Publication Date MM-DD-YYYY	Relevant Portion	T ⁶	
		EP	1 002	792		A1	Yoshitomi Pharm		05-24-2000			
		EP	0 778	263		A1	Yoshitomi Pharm		06-11-1997			
		JР	2-2566	12			Tanabe Seiyaku		10-17-1990			
		EP 0 297 782 JP 9-124564		782			Tanabe Seiyaku		01-04-1989			
					1 1		Nikko Rika KK		05-13-1997			
		JP	04-104796				Taiho Yakuhin Kogyo		04-07-1992			
		EP 0 492 497				A2	Hoechst		07-01-1992			
Exami: Signa							Date Considered				1	

^{*} EXAMINER: Initial if document considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE MAILED: November 20, 2003

Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Cubatituta fa			1	1							
Substitute to	r Form 1449	9A/PTO	Application	on Number							
			Filing Dat	Filing Date Herewith							
INFORMATION DESTATEMENT BY A			First Name	ed Inventor	Takahide NISHI et al.						
-			Group Art	Unit							
			Examiner 1	Name							
Sheet 2	of	3	Attorney I	Docket Number	02697CIPD/HG						
OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS											
Examiner Cite Initials No. 1	Include name of author (in CAPITAL LETTERS), title of article, title of item, date, page(s), volume-issue number(s), publisher, city and/or country where published										
	HUANG, et al., Kidney International, "Th1 responsiveness to nephritogenic antigens determines susceptibility to crescentic glomerulonephritis in mice", <u>51</u> , pp. 94-103 (1997)										
	BERMAN, et al., J. Immunology, "Decreased Il-4 Production in New Onset Type I Insulin-Dependent Diabetes Mellitus ¹ ", <u>157</u> , pp. 4690-4696 (1996)										
	CATIVIELA, et al., "Stereoselective synthesis of quaternary α -amino acids. Part 1:Acyclic compounds", Tetrahedron: Asymmetry, $\underline{9}$, pp. 3517-3599 (1998)										
	GANDER, et al., "Synthesis of Enantiomercially Pure, α-Alkylated Lysine, Ornithine, and Tryptophan-Derivatives", Helvetica Chimica Acta, 71, pp. 224-236, (1988) (English language Abstract)										
	SANO, et al., "Lewis Acid- and Cationic Lithium-Mediated Diastereoselective Aldol-Type Reaction Based on a Double Chiral Recognition manner for the Asymmetric Synthesis of α-Substituted Serines", Tetrahedron Letters, 36, No. 23, pp. 4101-4104 (1995)										
	NAGAO, et al., "Efficient Preparation of New Chiral Synthons Useful for (+)-Carbacyclin Synthesis by Utilizing Enzymatic Hydrolysis" Chemistry Letters, pp. 239-242 (1989)										
	TAMAI et al., "Enzymatic Hydrolyses of the ó-Symmetric Dicarboxylic Diesters Bearing a Sulfinyl Group as the Prochiral Center, Chemistry Letters, pp. 2381-2384 (1994)										
	CASARRUBIO, et al., "On the Syntheses of Thiophene Analogs of Practolol and 'Reversed' Practolol", J. Heterocyclic Chem, <u>20</u> , 1557-1560 (1983)										
CHARETTE et al., "Synthesis of α, α -Disubstituted- α -Amino by Double Nucleophilic Addition to Cyanohydrins", Tetrahed Letters 39, 5147-5150 (1998)											
Examiner	· · · · · · · · · · · · · · · · · · ·			Date Considered							

^{*} EXAMINER: Initial if document considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. 2 Place a check here if English translation is attached.

+

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

					r		<u> </u>				
Substitute for Form 1449A/PTO					Application	on Number					
					Filing Date Herewith		Herewith				
INFORMA' STATEME					First Named Inventor Takahide NISHI et al						
[Group Art Unit						
					Examiner D	Name					
Sheet	3		of	3	Attorney 1	Docket Number	02697CIPD/HG				
OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS											
Examiner Initials ¹	Cite No.1	Incl pa	ude na ge(s)	ame of author , volume-issu	(in CAPITAL : e number(s), ;	LETTERS), title of publisher, city and	article, title of item, date, d/or country where published	T²			
		Epoxy Seri	Hatakeyama et al., "Et₂AlCl-Catalyzed Cyclization of Epoxytrichloroacetimidates for the Synthesis of α-Substituted Serines", J. Org. Chem., 62, 2275-2279 (1997)								
			Hatakeyama, et al., "Total Synthesis of (+)-Conagenin", Tetrahedron Letters, 37, No. 23, pp. 4047-4050 (1996)								
		Avenoza, et al., "Preparation and Synthetic Applications of (S)- and (R)-N-Boc-N,O-isopropylidene-α-methylserinals: Asymmetric Synthesis of (S)- and (R)-2-Amino-2-methylbutanoic Acids (Iva)", J. Org. Chem., <u>64</u> , 8220-8225 (1999)									
	•										
Examine: Signatu					Date Considered						

^{*} EXAMINER: Initial if document considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE MAILED: November 20, 2003

¹ Unique citation designation number. ² Place a check here if English translation is attached.